

EXAMPLE

Trust Company Name: Éxito Trust

Trust Company Code: 777

Report Date: 09/30/2016

Type of services or activities offered	Number of customers	Customer					Customer Risk			The corporate service offered by the Trust Company requires:		
		Individual	Legal Entity	Domestic	Foreign	PEP	High	Medium	Low	Management	Wire transfers to be sent or received	Deposits or payments
Escrow	100	35	65	60	40	5	5	75	20	100	50	15
Accounting services	50	0	50	50	0	0	0	46	4	0	0	0

Instructions:

- Please indicate the number of customers by type of corporate service offered

Of the total customers, please indicate:

- How many are individuals? legal entities?
- How many are domestic? foreign?
- How many are PEP or PEP's relatives?
- How many have been classified as High, Medium or Low risk?
- How many require management services from the Trust Company?
- How many require wire transfers to be sent or received?
- How many require deposits or payments to be made?

Example: A trust company provides Escrow and corporate accounting services. As of 09/30/2016 the trust company has entered into Escrow agreements with 100 customers and provides accounting services to 50 customers. Of the total Escrow customers: 20 are Colombians, 15 Spanish, 5 Germans, and 60 Panamanians; 65 are legal entities and 35 individuals; 5 are PEP. After conducting the customer risk analysis, the matrix indicated that 5 are high-risk customers, 75 medium-risk, and 20 low-risk.

In completing the template, you should indicate the Escrow and Accounting customers' information separately.

Accounting customers have the following characteristic: 50 are legal entities; these legal entities are Panamanian; none are PEP customers; none require management, wire transfers, or payment services.